

PHOT 301: Quantum Photonics

LECTURE 13-15

Michaël Barbier, Fall semester (2024-2025)

APPROXIMATIONS

	Method	Approximates?
1	Transfer matrix method	piece-wise constant $V(x)$
2	Finite basis method	limited ψ_n, E_n : Matrix-formalism
3	Finite difference method	discretizes wave function
4	Perturbation theory (stat.)	small perturbation known solutions
5	Time-dependent perturbation	small perturbation known solutions
6	Tight-binding approx.	electrons strongly bound (covalent)
7	Variational method	finding energy minima

Usage of simple examples to compare over approximations

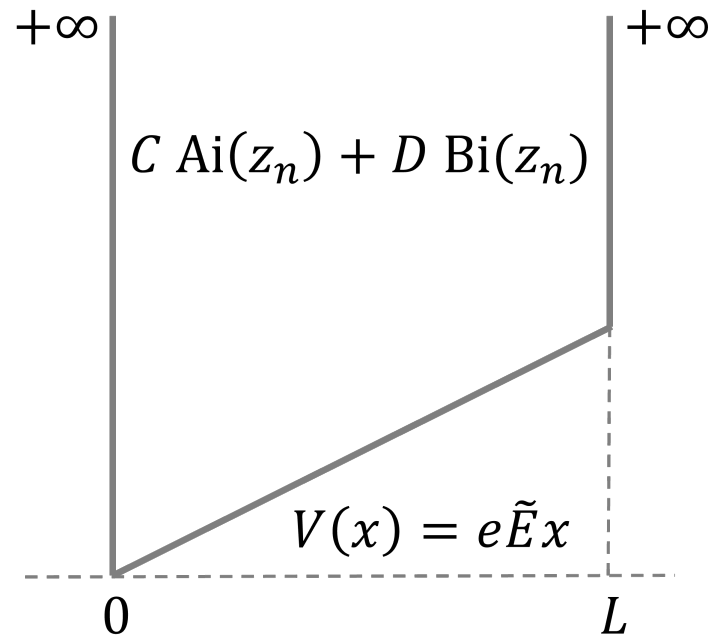
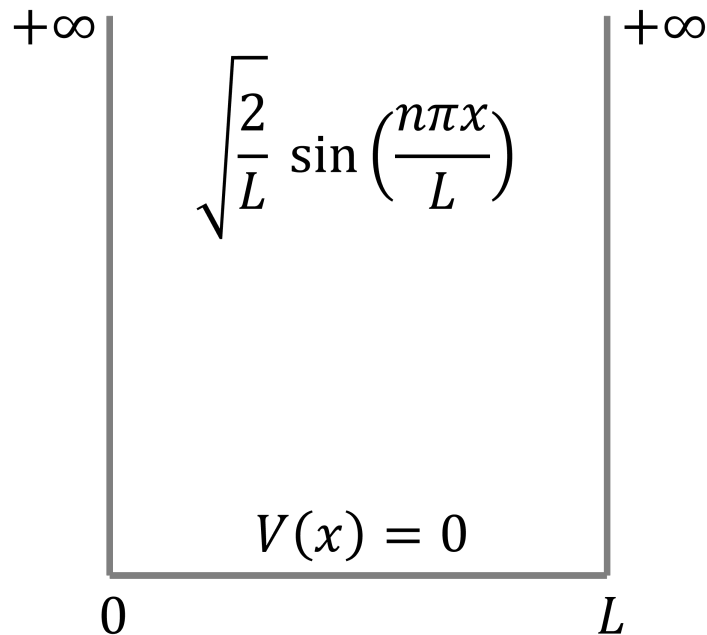
- Infinite square well with E-field (David Miller's book section 2.11)
- More on approximation methods: see Chapter 6 of David Miller's book
- Analytic solution vs. **perturbation** vs. **finite basis method** vs. **Finite difference**

INFINITE WELL WITH E-FIELD

A CONSTANT ELECTRIC FIELD

- The potential for a constant electric field: $V(x) = e\tilde{E}x$
- The time-independent Schrodinger equation:

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + e\tilde{E}x\psi(x) = E\psi(x)$$



A CONSTANT ELECTRIC FIELD

$$-\frac{\hbar^2}{2m} \frac{d^2\psi(x)}{dx^2} + e\tilde{E}x\psi(x) = E\psi(x)$$

Rewrite the equation to clarify its form:

$$\begin{aligned} \frac{d^2\psi(x)}{dx^2} &= \frac{2me\tilde{E}}{\hbar^2} \left(x - \frac{E}{e\tilde{E}}\right) \psi(x) \\ &= c(x - d)\psi(x) \end{aligned}$$

Where $c = \frac{2me\tilde{E}}{\hbar^2}$ and $d = \frac{E}{e\tilde{E}}$.

This looks very much like the (solvable) Airy equation:

$$\frac{d^2 f(z)}{dz^2} = zf(z)$$

—→ we need to find a suitable substitution for z

SUITABLE SUBSTITUTION FOR Z(X)

Assume a linear form for $z = \alpha x + \beta$ and rewrite the Airy equation

$$\frac{d^2 \psi(x)}{dx^2} = \frac{d^2 f(z)}{dz^2} \left(\frac{dz}{dx} \right)^2 = \alpha^2 z f(z) = (\alpha^3 x + \beta \alpha^2) f(z)$$

But we have also:

$$\frac{d^2 \psi(x)}{dx^2} = -\frac{2m}{\hbar^2} (E - e\tilde{E}x) \psi(x) = c(x - d)$$

$$\implies c(x - d) = (\alpha^3 x + \beta \alpha^2)$$

$$\implies \alpha = c^{1/3} \quad \beta = -c^{1/3} d$$

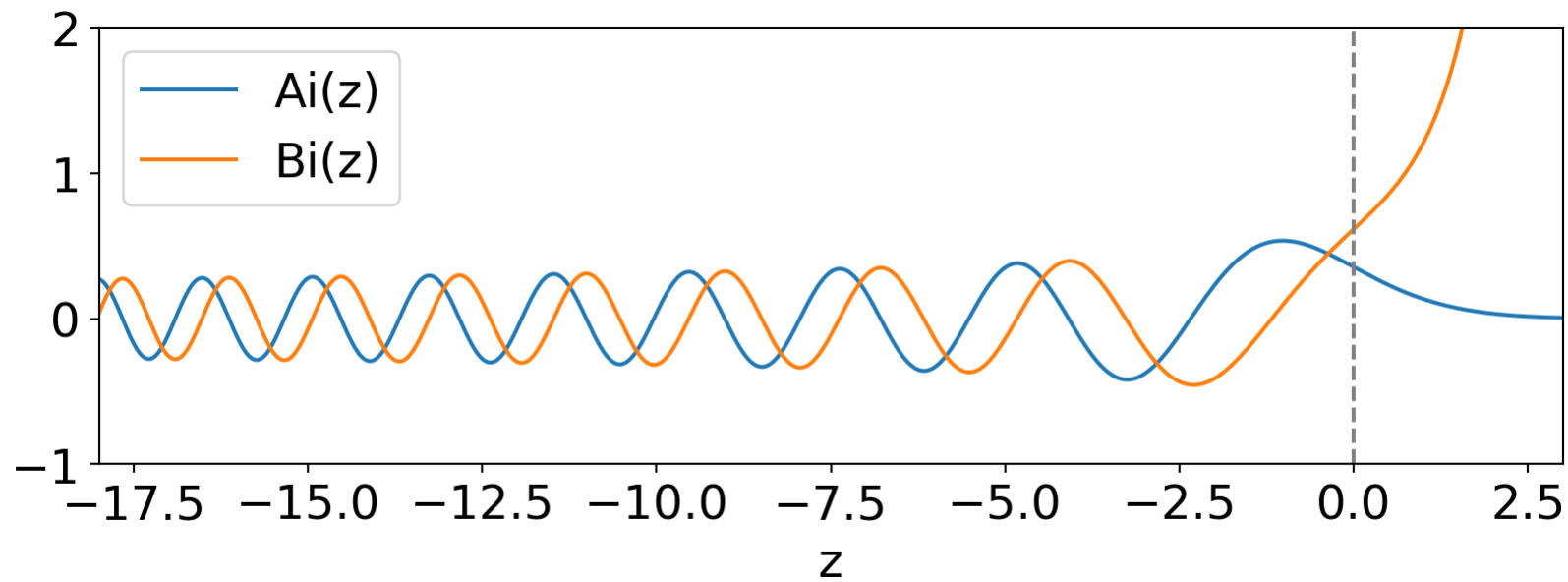
$$\implies z = \alpha x + \beta = c^{1/3} (x - d) = \left(\frac{2me\tilde{E}}{\hbar^2} \right)^{1/3} \left(x - \frac{E}{e\tilde{E}} \right)$$

AIRY EQUATION SOLUTIONS

$$\frac{d^2 f(z)}{dz^2} = z f(z), \quad \psi(x) = f(z) = C \operatorname{Ai}(z) + D \operatorname{Bi}(z)$$

$$\operatorname{Ai}(z) = \frac{1}{\pi} \int_0^\infty \cos\left(\frac{t^3}{3} + zt\right) dt$$

$$\operatorname{Bi}(z) = \frac{1}{\pi} \int_0^\infty \left[\exp\left(-\frac{t^3}{3} + zt\right) + \sin\left(\frac{t^3}{3} + zt\right) \right] dt$$



BOUNDARY CONDITIONS

$$\frac{d^2 f(z)}{dz^2} = z f(z), \quad \psi(x) = f(z) = C \operatorname{Ai}(z) + D \operatorname{Bi}(z)$$

$$x = 0 \longrightarrow z_0 = -c^{1/3} d \quad C \operatorname{Ai}(z_0) + D \operatorname{Bi}(z_0) = 0$$

$$x = L \longrightarrow z_L = c^{1/3} (L - d) \quad C \operatorname{Ai}(z_L) + D \operatorname{Bi}(z_L) = 0$$

$$\begin{pmatrix} \operatorname{Ai}(z_0) & \operatorname{Bi}(z_0) \\ \operatorname{Ai}(z_L) & \operatorname{Bi}(z_L) \end{pmatrix} \begin{pmatrix} C \\ D \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

Inverse cannot exist $\longrightarrow$ determinant is zero:

$$\det \begin{pmatrix} \operatorname{Ai}(z_0) & \operatorname{Bi}(z_0) \\ \operatorname{Ai}(z_L) & \operatorname{Bi}(z_L) \end{pmatrix} = \operatorname{Ai}(z_0) \operatorname{Bi}(z_L) - \operatorname{Ai}(z_L) \operatorname{Bi}(z_0) = 0$$

$\longrightarrow$ Numerically solutions $z_0(E), z_L(E)$

DIMENSIONLESS UNITS

Simplify formula and units

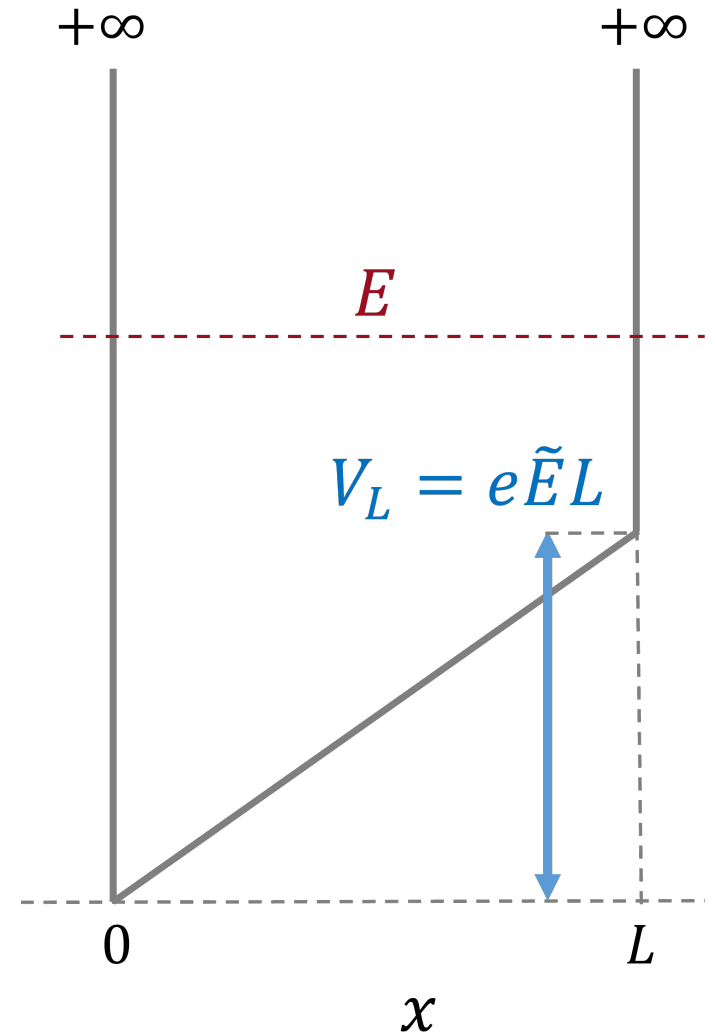
$$\begin{cases} z_0 = -c^{1/3}d = -\left(\frac{2me\tilde{E}}{\hbar^2}\right)^{1/3} \frac{E}{e\tilde{E}} \\ z_L = c^{1/3}(L-d) = \left(\frac{2me\tilde{E}}{\hbar^2}\right)^{1/3} \left(L - \frac{E}{e\tilde{E}}\right) \end{cases}$$

In units of $E_1^\infty = \frac{\hbar^2\pi^2}{2mL^2}$,

$$E \longrightarrow \varepsilon = \frac{E}{E_1^\infty}$$

$$V_L \longrightarrow \nu_L = \frac{V_L}{E_1^\infty} = \frac{e\tilde{E}L}{E_1^\infty}$$

$$\Rightarrow z_0 = -\left(\frac{\pi}{\nu_L}\right)^{\frac{2}{3}} \varepsilon, \quad z_L = \left(\frac{\pi}{\nu_L}\right)^{\frac{2}{3}} (\nu_L - \varepsilon)$$



CHOICE OF UNITS

For comparison with the infinite well: energy unit E_1^∞

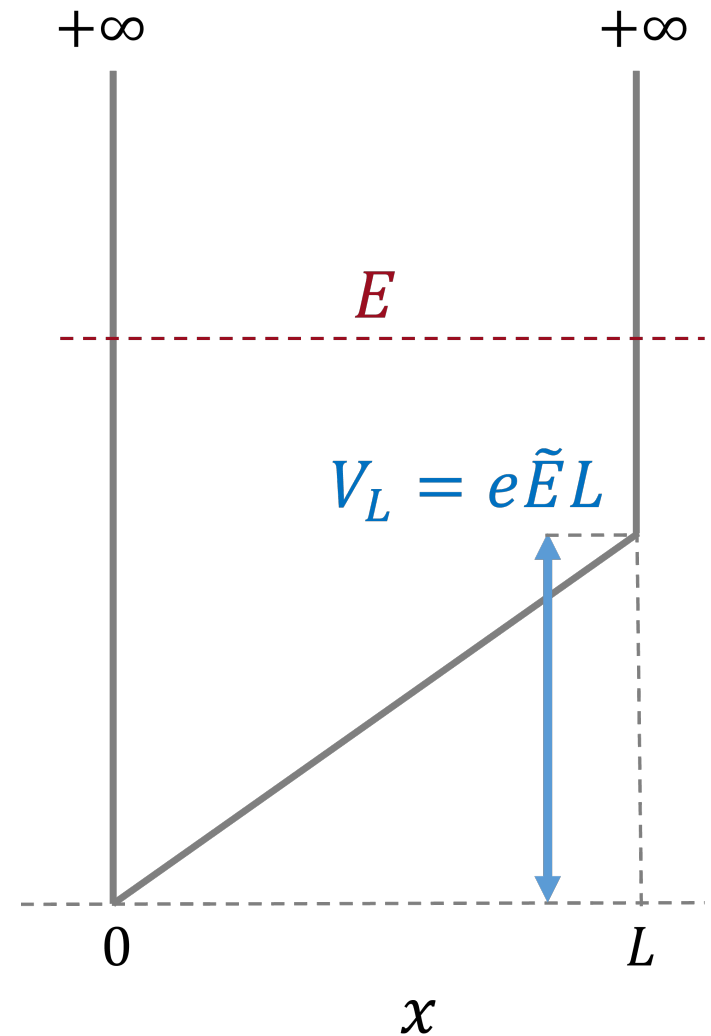
$$z_0 = -\left(\frac{\pi}{\nu_L}\right)^{\frac{2}{3}} \varepsilon, \quad z_L = \left(\frac{\pi}{\nu_L}\right)^{\frac{2}{3}} (\nu_L - \varepsilon)$$

Alternative: energy unit ν_L

$$z_0 = -\pi^{\frac{2}{3}} \tilde{\varepsilon}, \quad z_L = \pi^{\frac{2}{3}} (1 - \tilde{\varepsilon})$$

eigenenergies: Solve determinant equation

eigenstates: Fill in eigenenergies in boundary conditions
(constants C, D)



EIGENENERGIES & EIGENSTATES

1. Numerically solve determinant equation for eigenenergies E_n ,
2. Use E_n in boundary conditions to obtain $\psi_n(x)$
3. Normalize by $\int_0^L |\psi(x)|^2 dx = 1$

Eigenenergies E_n :

$$\text{Ai}(z_0) \text{Bi}(z_L) - \text{Ai}(z_L) \text{Bi}(z_0) = 0$$

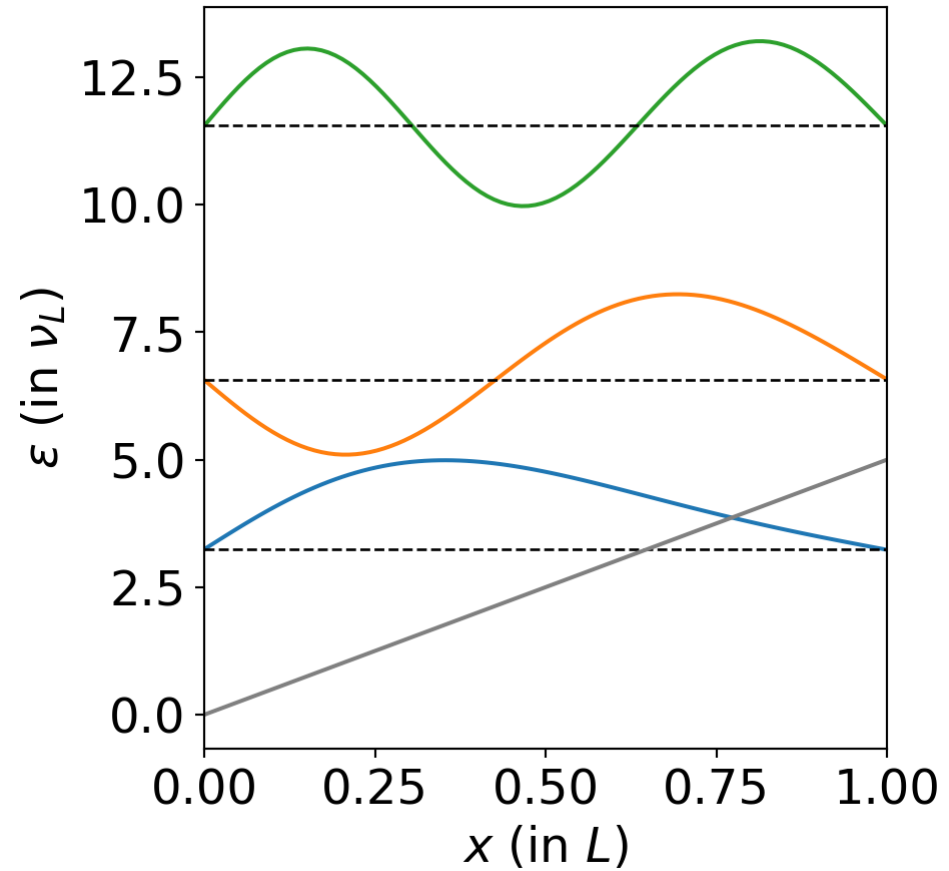
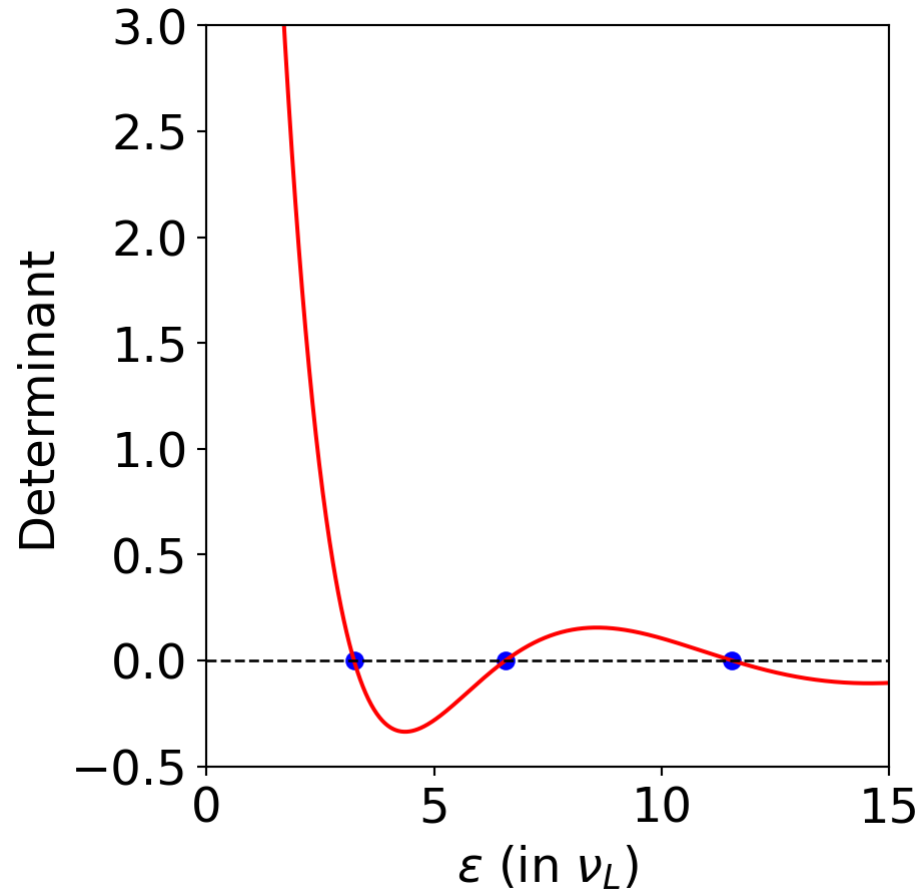
Eigenstates $\psi_n(x) = C \text{Ai}(z) + D \text{Bi}(z)$:

$$\frac{D}{C} = -\frac{\text{Bi}(z_0)}{\text{Ai}(z_0)}$$

Remember that z scales with energy

$$z = c^{1/3}(x - d) = \left(\frac{2me\tilde{E}}{\hbar^2}\right)^{1/3} \left(x - \frac{E}{e\tilde{E}}\right) = \left(\frac{\pi}{\nu_L}\right)^{\frac{2}{3}} \left(\frac{x}{L}\nu_L - \varepsilon\right)$$

FINAL SOLUTION



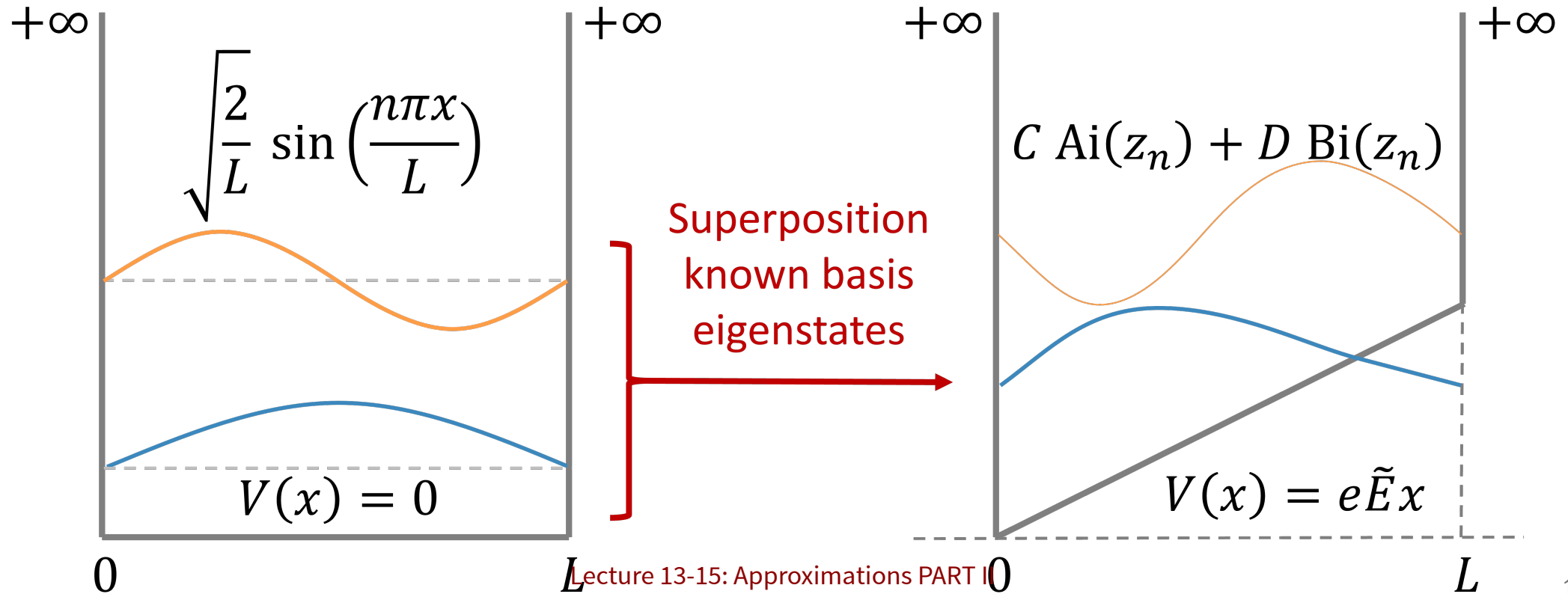
- Eigenenergies ε_n have zero determinant: find roots
- Fill in ε_n to get eigenstates $\psi_n(x)$

FINITE BASIS APPROXIMATION

FINITE BASIS APPROXIMATION

Steps to reach to the solutions:

1. Expand the solution in a known basis
2. Limit the amount of energy levels $\longrightarrow$ matrix algebra
3. Solve the eigenvalue equations for **eigenenergies** & **eigenstates**



DIMENSIONLESS HAMILTONIAN

The dimensionless Hamiltonian for infinite well is obtained by:

- $z = x/L, E \longrightarrow E/E_1^\infty,$
- electric field ν_L in units of V_L/E_1^∞

$$\hat{H} = -\frac{1}{\pi^2} \frac{d^2}{dz^2} + \nu_L (z - 1/2) \quad \text{with} \quad \hat{H}_0 = -\frac{1}{\pi^2} \frac{d^2}{dz^2}$$

Compute the elements of the Hamiltonian (matrix)

$$H_{mn} = -\frac{1}{\pi^2} \int \psi_m(z) \frac{d^2}{dz^2} \psi_n(z) dz + \int \nu_L (z - 1/2) \psi_m(z) \psi_n(z) dz,$$

With $\psi_n(z) = \sqrt{2} \sin(n\pi z)$

HAMILTONIAN: OVERLAP INTEGRALS

$$H_{mn} = \langle \psi_m | \hat{H} | \psi_n \rangle = -\frac{1}{\pi^2} \int \psi_m(z) \frac{d^2}{dz^2} \psi_n(z) dz + \int \nu_L \left(z - \frac{1}{2}\right) \psi_m(z) \psi_n(z) dz,$$

With the eigenstates $\psi_n(z) = \sqrt{2} \sin(n\pi z)$

$$\begin{aligned} H_{mn} &= -\frac{1}{\pi^2} \int_0^1 \psi_m(z) \frac{d^2}{dz^2} \psi_n(z) dz + \int_0^1 \nu_L (z - 1/2) \psi_m(z) \psi_n(z) dz, \\ &= n^2 \delta_{mn} + \nu_L \int_0^1 (z - 1/2) \sin(m\pi z) \sin(n\pi z) dz, \end{aligned}$$

The second integral can be calculated:

$$\int_0^1 (z - 1/2) \sin(m\pi z) \sin(n\pi z) dz = \begin{cases} \frac{4nm}{\pi^2(m^2 - n^2)^2} & \text{if } m + n \text{ is odd} \\ 0 & \text{if } m + n \text{ is even} \end{cases}$$

HAMILTONIAN: OVERLAP INTEGRALS

We see that the integral has two different contributions:

- Diagonal elements H_{nn} are defined by $\hat{H}_0$ with $E_n = n^2 E_1^\infty$
- Other elements $H_{n,m \neq n}$ determined by perturbing potential $\hat{H}_p = \hat{H} - \hat{H}_0$

$$H_{mn} = n^2 \begin{cases} H_{mn} = -\nu_L \frac{4nm}{\pi^2(m^2 - n^2)^2} & \text{if } n = m \pm 1, m \pm 3, \dots \\ H_{mn} = 0 & \text{if } n = m \pm 2, m \pm 4, \dots \end{cases}$$

- The Hamiltonian gives contributions of eigenstates $\psi_n(z) = \sqrt{2} \sin(n\pi z)$
- Eigenenergies E_n and potential ν_L are in units of $E_1^\infty = \frac{\hbar^2 \pi^2}{2mL^2}$

SOLVING THE EIGENVALUE EQUATION

The eigenvalue equation is:

$$\hat{H}\psi_n(z) = E_n\psi_n(z)$$

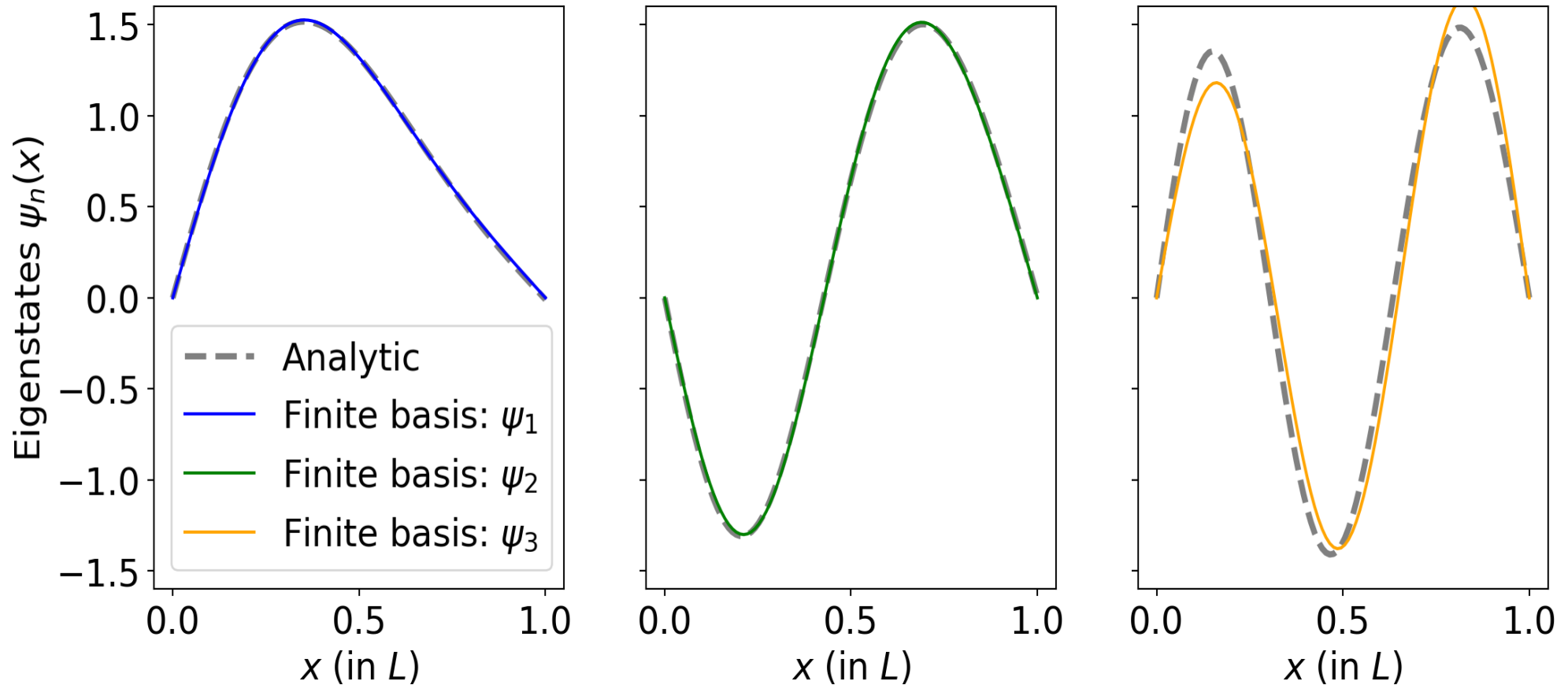
If we numerically calculate the overlap integrals:

$$H_{mn} = \begin{pmatrix} 1 & -0.54 & 0 \\ -0.54 & 4 & -0.584 \\ 0 & -0.584 & 9 \end{pmatrix}$$

When comparing resulting eigenvalues:

Eigenvalues	E_1	E_2	E_3
Finite basis approx.	0.90437	4.0279	9.068
Analytical solution	0.90419	4.0275	9.017

COMPARING EIGENSTATES



i From the plot

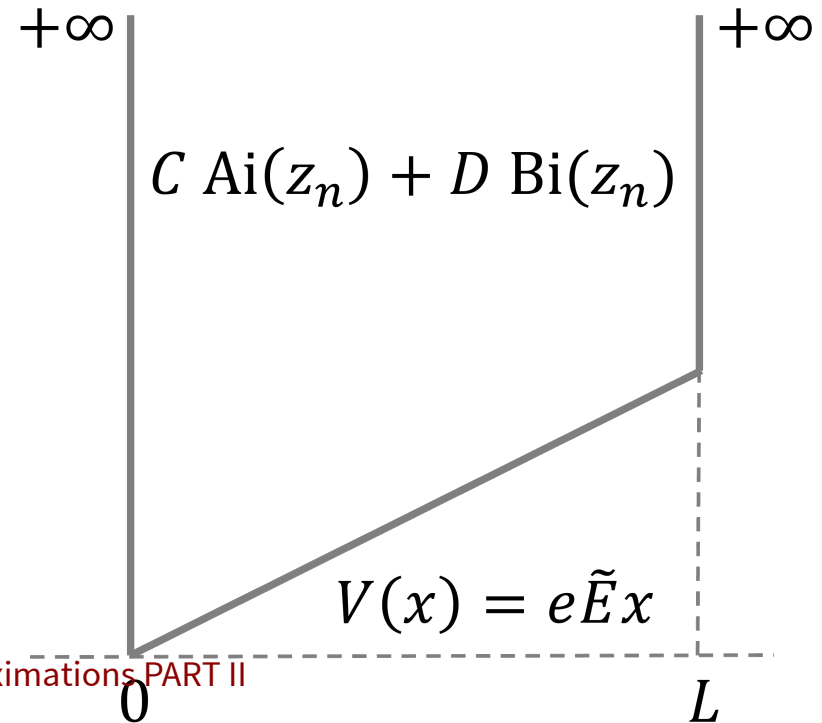
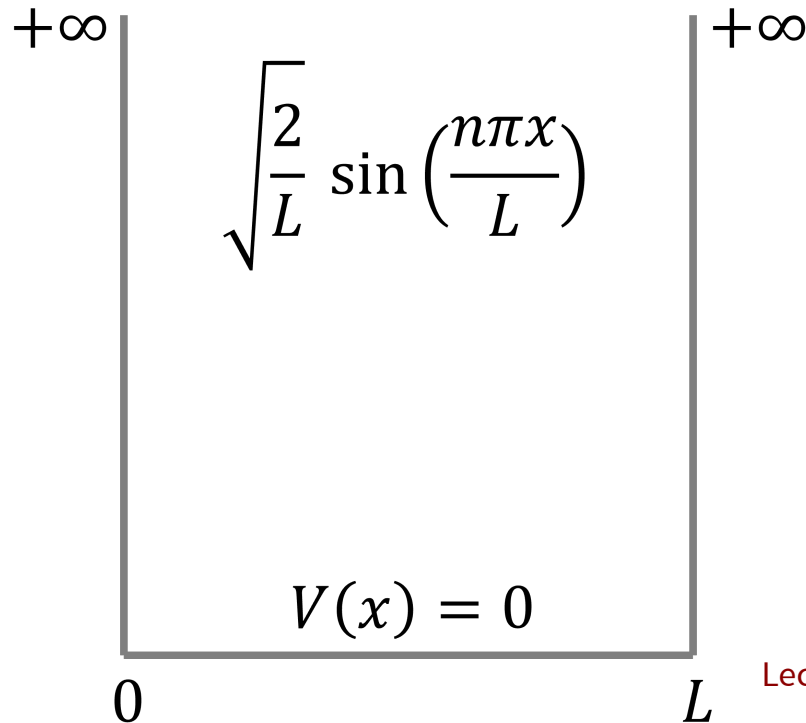
Finite basis method gives good results for lower eigenenergies/eigenstates

FINITE DIFFERENCE APPROXIMATION

FINITE DIFFERENCE APPROXIMATION

Steps to reach to the solutions:

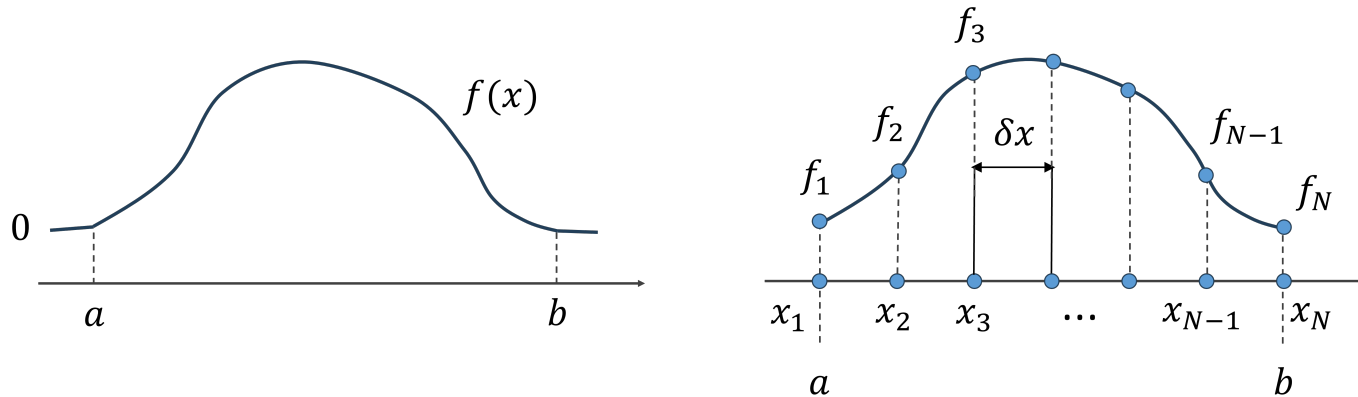
1. Discretize the wave function (finite basis)
2. Finite Difference Method to discretize the Schrödinger equation
3. Limited discrete points $\longrightarrow$ matrix algebra (Requires finite **box**)
4. Solve the eigenvalue equations for **eigenenergies** & **eigenstates**



DISCRETIZING A FUNCTION

- A function on a computer as a vector:

$$f(x) = \begin{pmatrix} f(x_1) \\ f(x_2) \\ \vdots \\ f(x_N) \end{pmatrix} \longrightarrow \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_N \end{pmatrix}$$



A NORMALIZED STATE

- A normalized state requires: $\langle f|f\rangle = 1$
- If we define the normalized state as ket and bra:

$$|f\rangle = |f(x_j)\sqrt{\delta x}\rangle = \begin{pmatrix} f(x_1) \\ f(x_2) \\ \vdots \\ f(x_N) \end{pmatrix} \longrightarrow \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_N \end{pmatrix}$$

$$\langle f| = \langle f^*(x_j)\sqrt{\delta x}| \longrightarrow (f_1^*\sqrt{\delta x} \quad f_2^*\sqrt{\delta x} \quad \cdots \quad f_N^*\sqrt{\delta x})$$

And the inner product is:

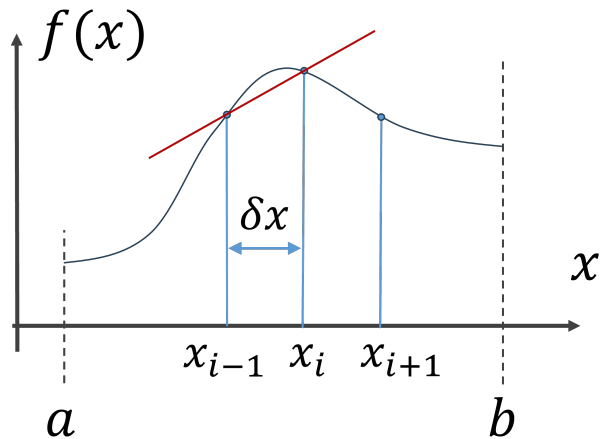
$$\langle f|f\rangle = \langle f(x)|f(x)\rangle\delta x = \sum_{j=1}^N |f_j|^2 \delta x \quad \longleftrightarrow \quad \int_a^b |f(x)|^2 dx$$

FINITE DIFFERENCES

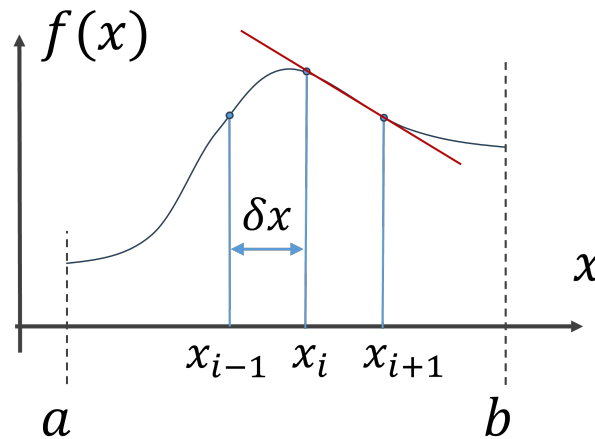
- Hamiltonian contains second derivative
- Derivative of a discrete function?

Central difference scheme: $\frac{df(x)}{dx} \longrightarrow \frac{\delta f(x)}{\delta x} = \frac{f_{i+1} - f_{i-1}}{2\delta x}$

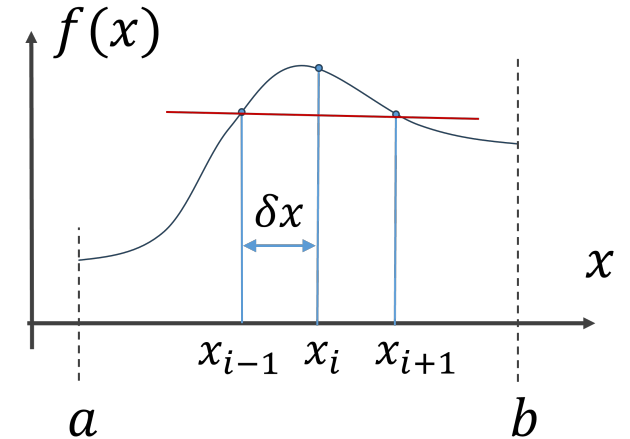
Backward difference



Forward difference



Central difference



SECOND DERIVATIVE

- Hamiltonian contains second derivative
- Derivative of a discrete function?

Central difference scheme: $\frac{df(x)}{dx} \longrightarrow \frac{\delta f(x)}{\delta x} = \frac{f_{i+1} - f_{i-1}}{2\delta x}$

- Second derivative by using central difference scheme with $\delta/2$

$$\frac{d^2 f(x)}{dx^2} \longrightarrow \frac{\frac{f_{i+1} - f_i}{\delta x} - \frac{f_i - f_{i-1}}{\delta x}}{\delta x} = \frac{f_{i+1} + f_{i-1} - 2f_i}{\delta x^2}$$

- Discrete potential function $V_i = V(x_i)$

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \longrightarrow -\frac{\hbar^2}{2m} \frac{f_{i+1} + f_{i-1} - 2f_i}{\delta x^2} + V_i$$

MATRIX FORM

$$\hat{H} = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \quad \longrightarrow \quad -\frac{\hbar^2}{2m} \frac{f_{i+1} + f_{i-1} - 2f_i}{\delta x^2} + V_i$$

- The Hamiltonian can be written as a matrix operator

$$\hat{H} = -\frac{\hbar^2}{2m \delta x^2} \begin{pmatrix} 1 & 0 & & & & & \\ 1 & -2 & 1 & & & & \\ & 1 & -2 & 1 & & & \\ & & \ddots & \ddots & \ddots & & \\ & & & 1 & -2 & 1 & \\ & & & & 1 & -2 & 1 \\ & & & & & 0 & 1 \end{pmatrix} + V(x)1$$

MATRIX FORM (DIMENSIONLESS)

$$\hat{H} = -\frac{1}{\pi^2} \frac{d^2}{dx^2} + V(x) \quad \longrightarrow \quad -\frac{1}{\pi^2} \frac{f_{i+1} + f_{i-1} - 2f_i}{\delta x^2} + \nu_L \left(\frac{i}{N} - \frac{1}{2} \right)$$

- The Hamiltonian can be written as a matrix operator (E, ν_L in units of E_1^∞)

$$-\frac{1}{\pi^2 \delta x^2} \begin{pmatrix} 1 & 0 & & & \\ 1 & -2 & 1 & & \\ & \ddots & \ddots & \ddots & \\ & & 1 & -2 & 1 \\ & & & 0 & 1 \end{pmatrix} + \begin{pmatrix} V_1 & & & & \\ & V_2 & & & \\ & & \ddots & & \\ & & & V_{N-1} & \\ & & & & V_N \end{pmatrix}$$

MATRIX FORM (DIMENSIONLESS)

$$\hat{H} = -\frac{1}{\pi^2} \frac{d^2}{dx^2} + V(x) \quad \longrightarrow \quad -\frac{1}{\pi^2} \frac{f_{i+1} + f_{i-1} - 2f_i}{\delta x^2} + \nu_L \left(\frac{i}{N} - \frac{1}{2} \right)$$

- The Hamiltonian can be written as a matrix operator (E, ν_L in units of E_1^∞)

$$-\frac{1}{\pi^2 \delta x^2} \begin{pmatrix} 1 & 0 & & & \\ 1 & -2 & 1 & & \\ & \ddots & \ddots & \ddots & \\ & & 1 & -2 & 1 \\ & & & 0 & 1 \end{pmatrix} + \frac{\nu_L}{2N} \begin{pmatrix} -N & & & & \\ & -N+2 & & & \\ & & \ddots & & \\ & & & N-2 & \\ & & & & N \end{pmatrix}$$

→ Solve the eigenvalue equation: $\hat{H}|\psi_n\rangle = E_n|\psi_n\rangle$

SOLVING LARGE MATRIX EQUATIONS

```
1 import numpy as np
2 from scipy.sparse import diags_array
3 from scipy.sparse.linalg import eigsh, LaplacianNd
4
5 # Parameters
6 n = 500; L = 1.0; dx = L/(n-1)
7 x = np.linspace(0, L, n)
8 V = 5 * (x - L/2)
9
10 # Calculate E_n, Psi_n
11 lap = LaplacianNd(
12     grid_shape=(n, ), boundary_conditions='dirichlet'
13 ).tospars().astype(np.float64)
14 Vmat = diags_array([V], offsets=[0]).toarray()
15 E_n, Psi_n = eigsh(-lap/np.pi**2/dx**2 + Vmat, k=3, which="SM")
16 print("Eigenvalues E1, E2, E3:\n\t\t" + str(np.round(E_n, 3)))
```

Eigenvalues E1, E2, E3:

[0.729 4.038 8.976]

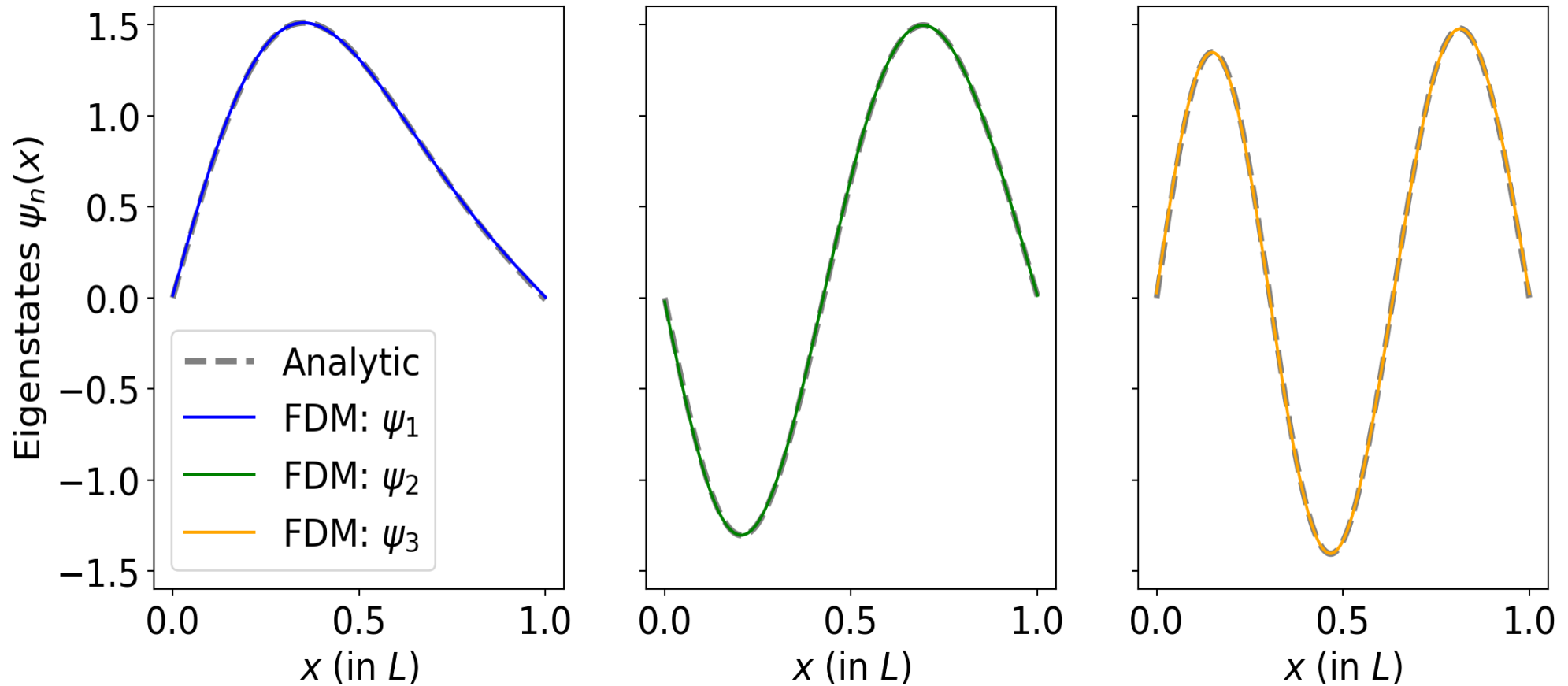
INFINITE WELL WITH ELECTRIC FIELD

$$\hat{H} = -\frac{1}{\pi^2} \frac{d^2}{dz^2} + \nu_L (z - 1/2) \quad \text{with} \quad \hat{H}_0 = -\frac{1}{\pi^2} \frac{d^2}{dz^2}$$

Eigenvalues	E_1	E_2	E_3
Finite difference	0.7293	4.0382	8.976
Finite basis approx.	0.9044	4.0279	9.068
Analytical solution	0.9042	4.0275	9.017

- Choosing initial basis functions not necessary
- Accurate potential $V(x)$ (δx dependent)
- *BAD* eigenenergies accuracy? Wave function accuracy *GOOD*?

WAVE FUNCTION COMPARISON

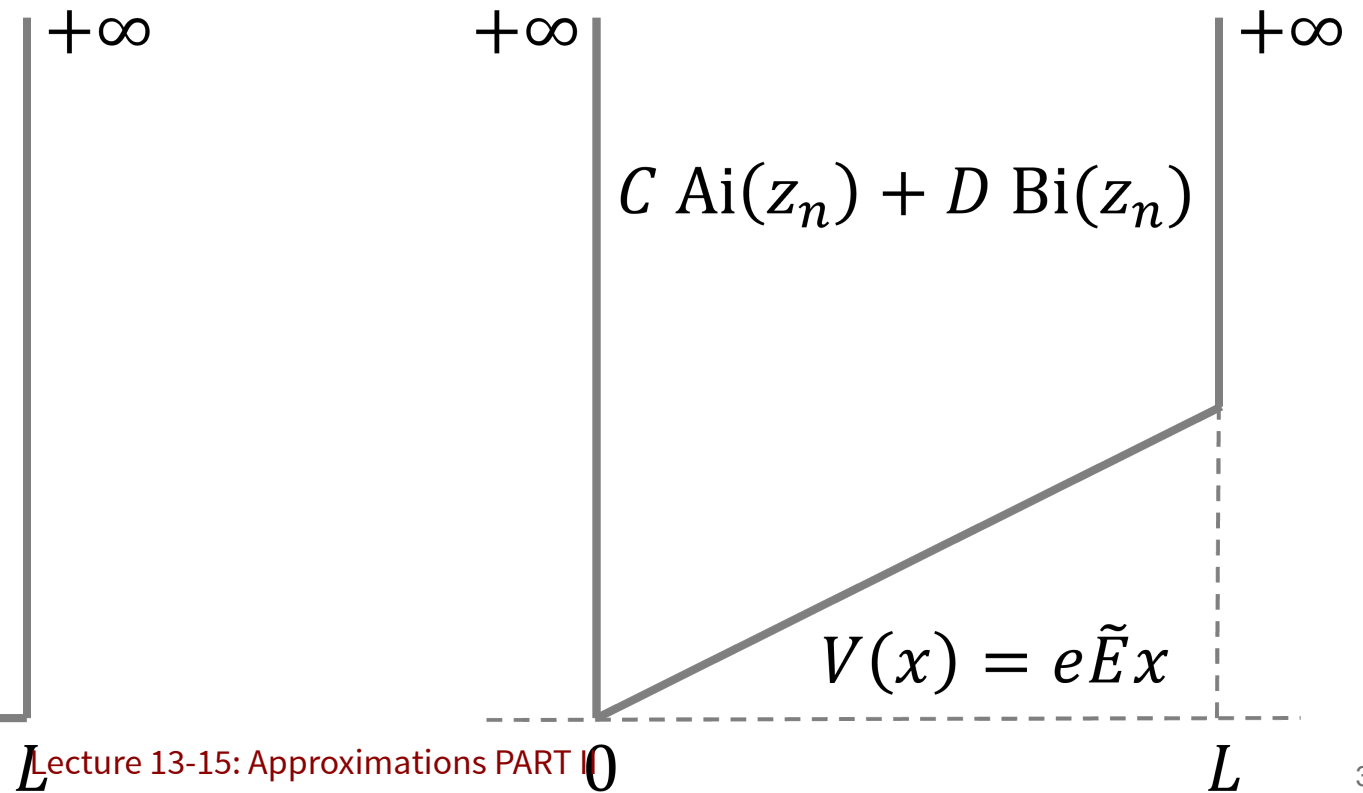
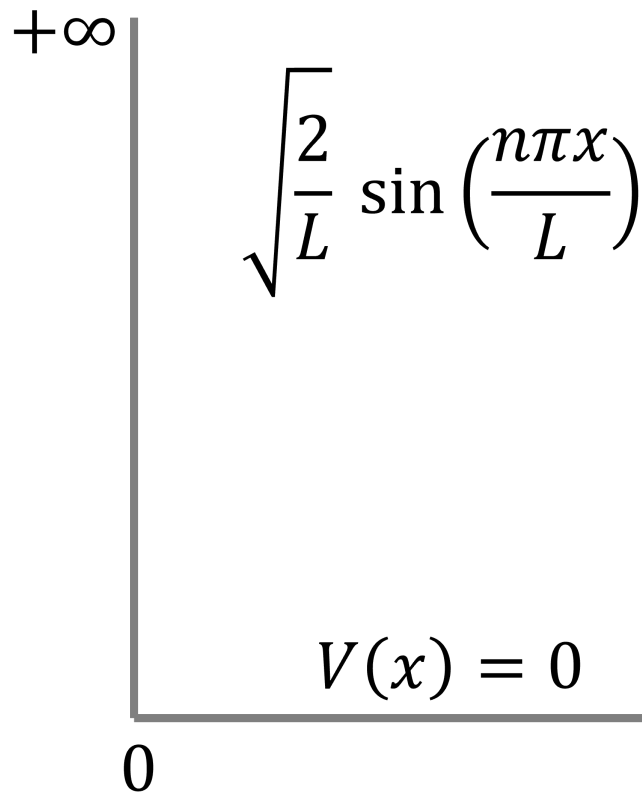


TIME-INDEPENDENT PERTURBATION THEORY

PERTURBATION THEORY

Steps to reach to the solutions:

1. $\hat{H} = \hat{H}_0 + \gamma \hat{H}_p$ Assume the perturbation to be small
2. Expand both the **eigenenergies** & **eigenstates** into power series in γ
3. Recursive relations for **eigenenergies** & **eigenstates**

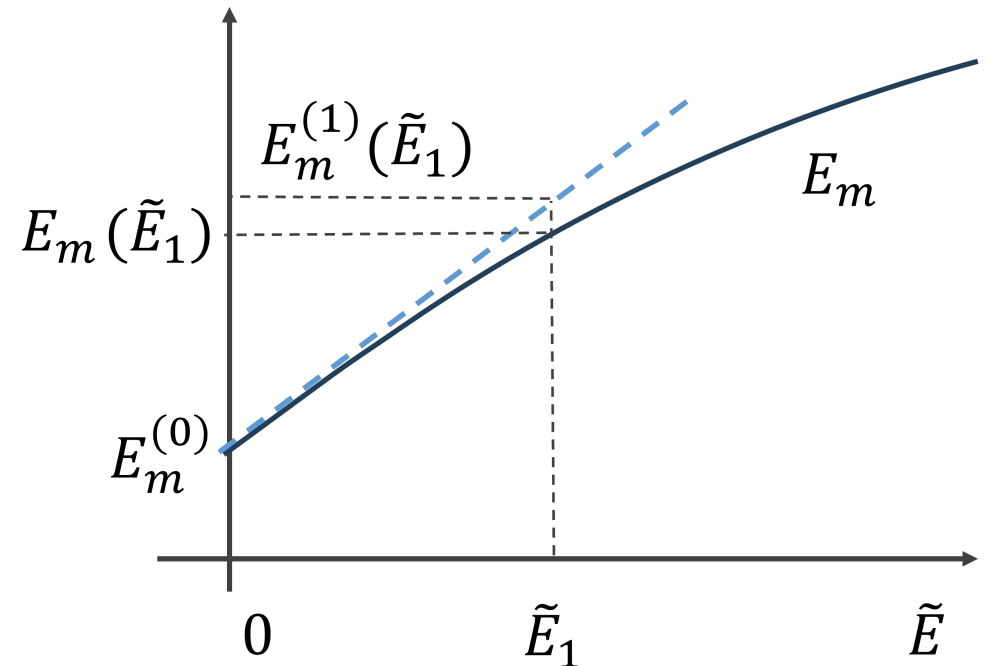


EXAMPLE OF STANDARD PERTURBATION

- Putting on a “small” electric field
- Approximating the effect of $V(x) = e\tilde{E}(x - L/2) \equiv \varepsilon z$ by power series:

$$E_m = E_m^{(0)} + \alpha_1 \varepsilon + \alpha_2 \varepsilon^2 + \dots$$

- Eigenstates $|\psi_m\rangle$ extracted from E_m
- $\varepsilon \ll 1 \longrightarrow$ higher orders zero
- Can we generalize perturbations?



PERTURBATION PART OF HAMILTONIAN

- Independent of the actual perturbation form
- Known solutions for the unperturbed part $\hat{H}_0$

$$\hat{H}_0|\psi_n\rangle = E_n|\psi_n\rangle$$

- Perturbation of $\hat{H}_0$ by “small” perturbing part $\hat{H}_p$:

$$\hat{H} = \hat{H}_0 + \gamma\hat{H}_p$$

- We can express the eigenenergies E and eigenstates $|\phi\rangle$:

$$\hat{H}|\phi\rangle = (\hat{H}_0 + \gamma\hat{H}_p)|\phi\rangle = E|\phi\rangle$$

POWER SERIES EXPANSION

$$\hat{H}|\phi\rangle = (\hat{H}_0 + \gamma\hat{H}_p)|\phi\rangle = E|\phi\rangle$$

Expand E and $|\phi\rangle$ as power series in γ :

$$E = E^{(0)} + \gamma E^{(1)} + \gamma^2 E^{(2)} + \gamma^3 E^{(3)} + \gamma^4 E^{(4)} + \dots$$

$$|\phi\rangle = |\phi^{(0)}\rangle + \gamma|\phi^{(1)}\rangle + \gamma^2|\phi^{(2)}\rangle + \gamma^3|\phi^{(3)}\rangle + \gamma^4|\phi^{(4)}\rangle + \dots$$

Schrodinger equation becomes:

$$(\hat{H}_0 + \gamma\hat{H}_p) \left(|\phi^{(0)}\rangle + \gamma|\phi^{(1)}\rangle + \gamma^2|\phi^{(2)}\rangle + \dots \right) = \\ \left(E^{(0)} + \gamma E^{(1)} + \gamma^2 E^{(2)} + \dots \right) \left(|\phi^{(0)}\rangle + \gamma|\phi^{(1)}\rangle + \gamma^2|\phi^{(2)}\rangle + \dots \right)$$

→ Equate coefficients of same order in γ

ZEROth ORDER PERTURBATION

$$(\hat{H}_0 + \gamma \hat{H}_p) \left(|\phi^{(0)}\rangle + \gamma |\phi^{(1)}\rangle + \gamma^2 |\phi^{(2)}\rangle + \dots \right) = \\ \left(E^{(0)} + \gamma E^{(1)} + \gamma^2 E^{(2)} + \dots \right) \left(|\phi^{(0)}\rangle + \gamma |\phi^{(1)}\rangle + \gamma^2 |\phi^{(2)}\rangle + \dots \right)$$

- zeroth order in γ :

$$\hat{H}_0 |\phi^{(0)}\rangle = E^{(0)} |\phi^{(0)}\rangle \quad \longrightarrow \quad |\psi_m\rangle \equiv |\phi^{(0)}\rangle, \quad E_m \equiv E^{(0)}$$

- These are the solutions of the unperturbed Hamiltonian

MATCHING ORDERS

$$(\hat{H}_0 + \gamma \hat{H}_p) \left(|\psi_m\rangle + \gamma |\phi^{(1)}\rangle + \gamma^2 |\phi^{(2)}\rangle + \dots \right) = \\ \left(E_m + \gamma E^{(1)} + \gamma^2 E^{(2)} + \dots \right) \left(|\psi_m\rangle + \gamma |\phi^{(1)}\rangle + \gamma^2 |\phi^{(2)}\rangle + \dots \right)$$

Matching orders in γ

$$\hat{H}_0 |\psi_m\rangle = E_m |\psi_m\rangle$$

$$\hat{H}_0 |\phi^{(1)}\rangle + \hat{H}_p |\psi_m\rangle = E_m |\phi^{(1)}\rangle + E^{(1)} |\psi_m\rangle$$

$$\hat{H}_0 |\phi^{(2)}\rangle + \hat{H}_p |\phi^{(1)}\rangle = E_m |\phi^{(2)}\rangle + E^{(1)} |\phi^{(1)}\rangle + E^{(2)} |\psi_m\rangle$$

MATCHING ORDERS CTU'D

$$\begin{aligned}\hat{H}_0|\psi_m\rangle &= E_m|\psi_m\rangle \\ \hat{H}_0|\phi^{(1)}\rangle + \hat{H}_p|\psi_m\rangle &= E_m|\phi^{(1)}\rangle + E^{(1)}|\psi_m\rangle \\ \hat{H}_0|\phi^{(2)}\rangle + \hat{H}_p|\phi^{(1)}\rangle &= E_m|\phi^{(2)}\rangle + E^{(1)}|\phi^{(1)}\rangle + E^{(2)}|\psi_m\rangle\end{aligned}$$

Rewrite highest order state to the left-hand-side:

$$\begin{aligned}(\hat{H}_0 - E_m)|\psi_m\rangle &= 0 \\ (\hat{H}_0 - E_m)|\phi^{(1)}\rangle &= (E^{(1)} - \hat{H}_p)|\psi_m\rangle \\ (\hat{H}_0 - E_m)|\phi^{(2)}\rangle &= (E^{(1)} - \hat{H}_p)|\phi^{(1)}\rangle + E^{(2)}|\psi_m\rangle\end{aligned}$$

FIRST ORDER PERTURBATION THEORY

$$(\hat{H}_0 - E_m)|\psi_m\rangle = 0$$

$$(\hat{H}_0 - E_m)|\phi^{(1)}\rangle = (E^{(1)} - \hat{H}_p)|\psi_m\rangle$$

$$(\hat{H}_0 - E_m)|\phi^{(2)}\rangle = (E^{(1)} - \hat{H}_p)|\phi^{(1)}\rangle + E^{(2)}|\psi_m\rangle$$

Left-multiply by the bra $\langle\psi_m|$

$$\text{left-hand-side: } \langle\psi_m|(\hat{H}_0 - E_m)|\phi^{(1)}\rangle = \langle\psi_m|(E_m - E_m)|\phi^{(1)}\rangle = 0$$

$$\text{right-hand-side: } \langle\psi_m|(E^{(1)} - \hat{H}_p)|\psi_m\rangle = E^{(1)} - \langle\psi_m|\hat{H}_p|\psi_m\rangle$$

$$\implies E^{(1)} = \langle\psi_m|\hat{H}_p|\psi_m\rangle$$

- First order correction to the eigenenergy: $E_m + E^{(1)}$

FIRST ORDER EIGENSTATE

- Expand first order correction eigenstate: $|\phi^{(1)}\rangle = \sum_n a_n^{(1)} |\psi_n\rangle$
- Filling in:

$$(\hat{H}_0 - E_m)|\phi^{(1)}\rangle = (E^{(1)} - \hat{H}_p)|\psi_m\rangle$$

left-hand-side: $\langle\psi_i|(\hat{H}_0 - E_m)|\phi^{(1)}\rangle = (E_i - E_m)\langle\psi_i|\phi^{(1)}\rangle = (E_i - E_m)a_i^{(1)}$

right-hand-side: $\langle\psi_i|(E^{(1)} - \hat{H}_p)|\psi_m\rangle = E^{(1)}\langle\psi_i|\psi_m\rangle - \langle\psi_i|\hat{H}_p|\psi_m\rangle$

$$\implies a_i^{(1)} = \frac{\langle\psi_i|\hat{H}_p|\psi_m\rangle}{E_m - E_i}$$

$$\implies |\phi^{(1)}\rangle = \sum_{n \neq m} \frac{\langle\psi_n|\hat{H}_p|\psi_m\rangle}{E_m - E_n} |\psi_n\rangle$$

ELECTRIC FIELD AS PERTURBATION

Up to first order we have:

$$|\psi_m\rangle \longrightarrow |\psi_m\rangle + |\phi_m^{(1)}\rangle = |\psi_m\rangle + \sum_{n \neq m} \frac{\langle \psi_n | \hat{H}_p | \psi_m \rangle}{E_m - E_n} |\psi_n\rangle$$

$$E_m \longrightarrow E_m + E^{(1)} = E_m + \langle \psi_m | \hat{H}_p | \psi_m \rangle$$

The Hamiltonian in dimensionless units:

$$\hat{H} = -\frac{1}{\pi^2} \frac{d^2}{dz^2} + \nu_L (z - 1/2) \quad \text{with} \quad \hat{H}_0 = -\frac{1}{\pi^2} \frac{d^2}{dz^2}$$

- The Hamiltonian gives contributions of eigenstates $\psi_n(z) = \sqrt{2} \sin(n\pi z)$
- Eigenenergies E_n and potential ν_L are in units of $E_1^\infty = \frac{\hbar^2 \pi^2}{2mL^2}$

FIRST ORDER CORRECTION

The correction in the energies is zero (no change):

$$\begin{aligned} E_m + E_m^{(1)} &= E_m + \langle \psi_m | \hat{H}_p | \psi_m \rangle \\ &= m^2 + \nu_L \int_0^1 (z - 1/2) \sin^2(m\pi z) dz, \\ &= m^2 + 0 = m^2 \end{aligned}$$

$$\begin{aligned} |\psi_m\rangle + |\phi_m^{(1)}\rangle &= |\psi_m\rangle + \sum_{n \neq m} \frac{\langle \psi_n | \hat{H}_p | \psi_m \rangle}{E_m - E_n} |\psi_n\rangle \\ &= |\psi_m\rangle - \sum_{n \neq m} \frac{2\nu_L}{m^2 - n^2} \int_0^1 (z - 1/2) \sin(m\pi z) \sin(n\pi z) dz |\psi_n\rangle \\ &= |\psi_m\rangle - \sum_{n=m \pm 1, \pm 3, \dots} \frac{2\nu_L}{m^2 - n^2} \frac{4nm}{\pi^2(m^2 - n^2)^2} |\psi_n\rangle \end{aligned}$$

FIRST ORDER CORRECTION CTU'D

Where the second integral was obtained from:

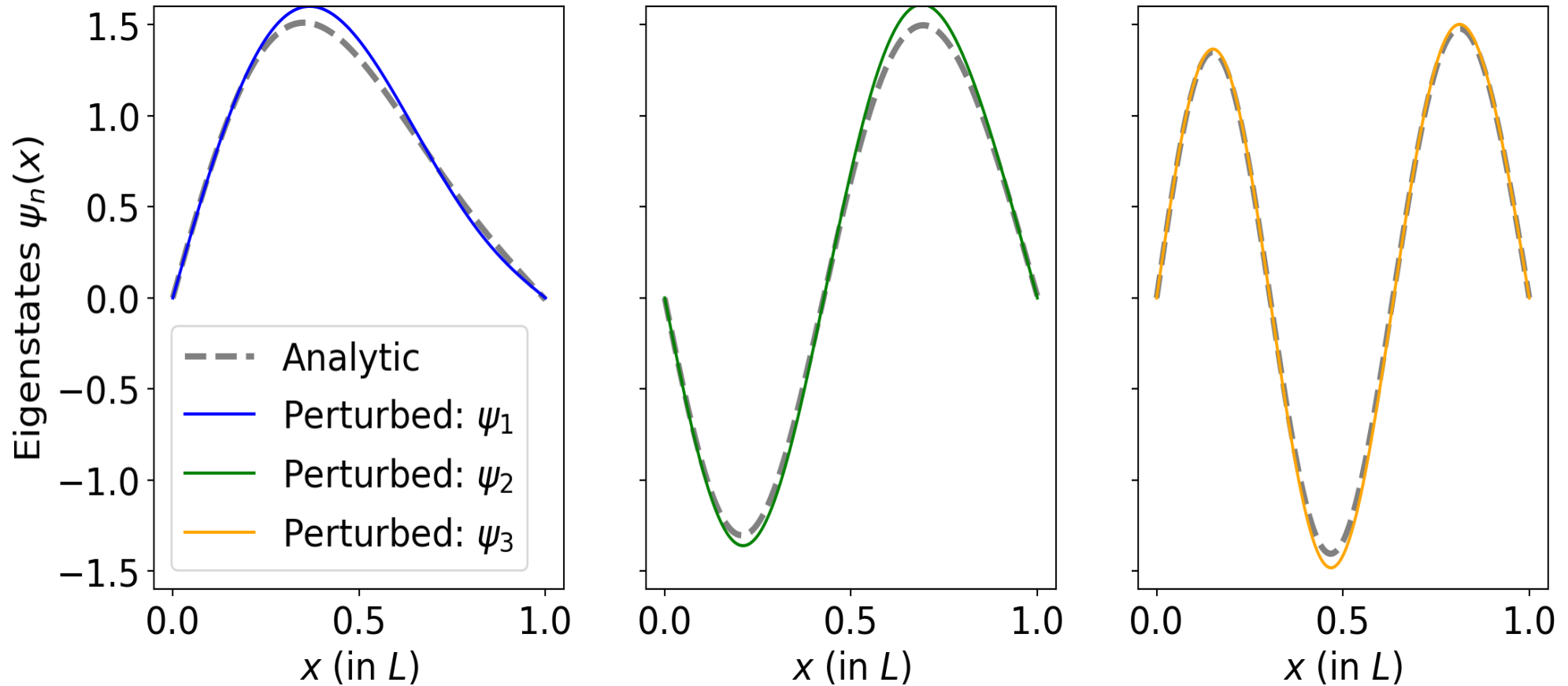
$$\int_0^1 (z - 1/2) \sin(m\pi z) \sin(n\pi z) dz = \begin{cases} \frac{4nm}{\pi^2(m^2 - n^2)^2} & \text{if } m + n \text{ is odd} \\ 0 & \text{if } m + n \text{ is even} \end{cases}$$

So we have for eigenenergies and eigenstates:

$$E_m + E_m^{(1)} = m^2$$

$$|\psi_m\rangle + |\psi_m^{(1)}\rangle = \sqrt{2} \sin(m\pi z) + \sum_{n=m\pm1, \pm3, \dots} \frac{8nm\nu_L\sqrt{2}}{\pi^2(m^2 - n^2)^3} \sin(n\pi z)$$

WAVE FUNCTION COMPARISON



For 2nd order perturbation theory: see Chapter 6 of David Miller's book